

Water Safety New Zealand Funding Prospectus

20/21

23 April 2020



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DISCLAIMER

WSNZ has prepared this 2020/21 Funding Prospectus in good faith based on information it has to hand at the date it was published. It also reflects the aspirations WSNZ has to ensure its sector partners are able to continue to contribute to the objectives of the Water Safety Sector Strategy 2020.

It is, however, acknowledged there is considerable uncertainty around the amount of funding WSNZ will receive for 2020/21 partnership funding or how the national Covid-19 response will impact on the ability of providers to deliver proposed drowning prevention programmes during 2020/21.

Given this uncertainty, WSNZ is unable to provide any guarantee that funding allocations will be made for 2020/21, or that they will be made according to the timelines outlined in this Prospectus.

FOREWORD

This Funding Prospectus contains information for applicants seeking funding from Water Safety New Zealand (WSNZ) for projects that deliver drowning prevention interventions that make a difference to New Zealand's drowning toll.

The application process and funding criteria for the WSNZ 2010/21 Funding Round remains the same as for 2019/20 except:

- There have been changes to the definition of priority target areas for funding to reflect work WSNZ has undertaken to identify those high-risk activities and groups most likely to respond to targeted drowning prevention initiatives.
- Applicants proposing to work with children and young people are required to provide WSNZ with evidence of a having a child protection policy in place that is consistent with the Children's Act 2014.

It should also be noted that all successful 2020/21 funding applicants will be required to adhere to WSNZ's Code of Conduct for Funded Partners. For applicants seeking to provide kaupapa Māori drowning prevention initiatives, they will have to comply with the Tauaki Tikanga - WSNZ Statement of Practice for kaupapa Māori drowning prevention initiatives, which includes WSNZ's Code of Conduct for Funded Partners.

Online application only

WSNZ's funding application process was fully automated for the 2019/20 funding round. All 2020/21 applicants are again required to apply online using the SmartyGrants system – no hard copy applications for 2020/21 funding will be received or processed by WSNZ.

INTRODUCTION

Water safety is a critical issue for New Zealand, and it is important that there is a sustainable group of dedicated and capable organisations ready and able to address water safety risks and keep New Zealanders safe. This is particularly important during the period of economic uncertainty created by the global Covid-19 pandemic, and that recreation, sport and general physical activity looks likely to play a major part of the recovery in New Zealand.

In this regard, I recognise WSNZ investments are a major source of funding for many organisations in the water safety sector. The sector consists of a range of organisations that make different contributions to the sector's core mission of reducing New Zealand's preventable drowning deaths and injuries and WSNZ is keen to ensure these contributions continue to be made through the current period of uncertainty. As the water safety sector's leader, WSNZ also seeks to ensure the objectives contained in the water safety sector strategy are delivered by ensuring funding is allocated to key priorities.

WSNZ's key tool for all this is the allocation of funding towards the sector's priority drowning prevention activities through its annual funding programme.

Sector funding

Traditionally, most of the sector partnership funding allocated by WSNZ has been provided via Sport New Zealand (through New Zealand Lottery Grants Board) and ACC. These funding sources have yet to be confirmed for the coming year, however, early indications are that partnership funding will continue. On this basis, WSNZ is proceeding with our 2020/2021 funding round as planned, with the final funding levels available for allocation to be confirmed at a later date similar to previous years.

The Government is supportive of the water safety sector and the important role it plays in keeping people safe in, on and around the water. Prior to the Covid-19 crisis sector organisations were working collaboratively with Government agencies to identify the best way to ensure the long-term sustainability of the water safety sector.

Notwithstanding the outcome of funding levels for distribution, WSNZ has identified key funding priorities for 2010/21 to which it will direct investment.

Funding priorities

WSNZ continues to monitor the operation of our funding programme, including the definition of our funding priorities, to ensure our investments are focused on the sector's highest priority objectives and support the development of our funded partners' capability. Identifying funding priorities does not mean other drowning prevention initiatives will not be funded, it just means we are especially keen to support initiatives in these priority areas.

Part of our work in this area has been the identification of strategic funding priorities – those key areas where investment by WSNZ will support a wider sector-level response. These strategic funding priorities remain unchanged from 2019/20: the Water Skills for Life programme; the pilot regional

water safety strategies in Auckland, Waikato and the Bay of Plenty; and Māori drowning prevention initiatives.

As part of our strategic focus on Māori, in 2019/20 WSNZ entered into a funding arrangement with ACC for the delivery of drowning prevention initiatives using a kaupapa Māori approach. Some of these pilot initiatives will continue into 2020/21, but we also are seeking to initiate a new tranche of kaupapa Māori projects.

To ensure WSNZ's 2020/21 Funding Round is focused on where we can make the best drowning prevention gains, WSNZ has undertaken an analysis of past 10 years drowning fatality data. This analysis identified a series of activities that accounted for just under two-thirds of the preventable fatal drownings between 2010 and 2019. Further analysis identified those groups most likely to participate in these activities. These high-risk activities and target groups along with our strategic funding priorities is where WSNZ investment funding will be focused for 2020/21.

Sector strategy

The current Water Safety Sector Strategy was for a 5-year period ending in 2020. WSNZ has been working with key sector leaders to develop the next iteration of the sector strategy, beyond 2020. It is planned to build on momentum created by the current strategy and engage more people in the sector in the process of developing the new and refreshed version.

One outcome of the sector strategy process will be the identification of collective objectives and activities that will be used to inform future funding priorities.

As a country, we are all going through unprecedented times with many challenges ahead. Through the WSNZ 2020/21 Investment Programme we look forward to ensuring the water safety sector stays strong. Together we can develop lasting partnerships that reduce preventable drowning deaths and injuries.

Ngā mihi
Jonty Mills



Chief Executive Officer
Water Safety New Zealand

WSNZ 2020/21 FUNDING ROUND

OVERVIEW

WSNZ is inviting funding applications from suitably qualified organisations to deliver drowning prevention interventions that make a real difference to New Zealand's drowning toll.

WSNZ will invest in organisations and projects that demonstrate a strong drowning prevention rationale, clear impacts and the organisational capability to deliver. Evidence of clear benefits, effective delivery, and partnerships to deliver water safety outcomes in the community continue to be important considerations. Funded organisations are also expected to be able to collect the information to enable an assessment of how the proposed project has had a long-term impact on the drowning toll.

With a finite funding pool, WSNZ must be clear on our funding priorities. We will invest in initiatives that clearly demonstrate value for money, are aligned to the identified priority areas and help implement the objectives of the Water Safety Sector Strategy 2020. These funding priorities are clearly specified below.

As a result, we will be placing strong emphasis on alignment to our funding priorities in the assessment of drowning prevention funding applications. The evaluation criteria we use to assess funding applications are also outlined below.

FUNDING CRITERIA

Applications are required to demonstrate how they will achieve results against three tiers of funding criteria. These criteria are outlined below and summarised on the online Application Form.

STEP ONE: FUNDING GOALS

Each application will identify the Funding Goal to which it most closely relates. These Goals are derived from the end outcomes described in the New Zealand Water Safety Sector Strategy 2020¹.

- 1. Every New Zealander has the opportunity to develop water safety knowledge and survival skills.*
- 2. New Zealand communities take the lead to promote the safe enjoyment of local water.*
- 3. Males improve their knowledge, attitudes and behaviour to take personal responsibility for water safety.*
- 4. Parents improve their knowledge, attitudes and behaviour to take personal responsibility for supervising preschool children around water.*
- 5. The social and economic costs to New Zealand from preventable drowning and injury are minimised.*

¹ These Goals are set out in full at: <https://watersafety.org.nz/Water Safety Sector Strategy 2020>

STEP TWO: PRIORITY AREAS

The following funding Priority Areas are where it is considered the best gains in drowning prevention in New Zealand can be made from WSNZ-funded drowning prevention initiatives.

These Priority Areas are split into two: strategic priorities, which are broad areas of intervention that have already been identified for a sector-level response; and specific target groups or high-risk activities, for which poor water safety outcomes are already being reflected in drowning data. These are the key areas where investment by WSNZ will make a real difference to drowning prevention.

WSNZ will direct 2020–21 drowning prevention funding towards the following Priority Areas.

A. Strategic priorities

Water Skills for Life

Since 2017-18 WSNZ has prioritised the implementation of the Water Skills for Life (WSFL) initiative for children in schooling years 1 to 8. WSFL focuses our education funding on 5 to 13 year old children learning a fundamental set of water survival competencies by year eight. WSNZ will again prioritise the ongoing implementation of WSFL and would particularly welcome applications from those looking to provide WSFL in areas where it has not been provided before.

For organisations intending to first apply for WSFL funding, it is recommended they upskill themselves on the objectives and operation of the WSFL and WSNZ's contractual and accountability reporting requirements. The seven WSFL skills sets and other supporting information, including a teachers' guide, can be found on the WSNZ website [here](#).

WSNZ maintains a WSFL database for providers to enter data on WSFL students' achievements and run progress reports. This enables WSNZ to more effectively track progress of participants and make the WSFL database more useful for monitoring and evaluation purposes and inform decisions on programme improvements. Successful 2020/21 WSFL applicants will be required to enter achievement data into the WSFL database in a timely manner.

Maori Drowning prevention

Kia Maanu, Kia Ora is WSNZ's Māori Water Safety Strategy and it is focused on improving water safety outcomes for Māori over the next 5 years. It outlines a portfolio of initiatives focused on the way WSNZ works with Māori and on key Māori drowning prevention initiatives.

Kia Maanu, Kia Ora identifies the desired Māori drowning prevention outcomes WSNZ and the water safety sector more broadly are trying to achieve, and these have been used to identify drowning prevention funding priorities for Māori for this Prospectus.

For the 2020/21 funding round WSNZ is seeking proposals for initiatives that aim to reduce Māori preventable drowning by:

- increasing the awareness of water safety risks amongst Māori;
- providing Māori with opportunities to learn water safety survival skills and knowledge;

- providing Māori with the opportunity to learn about Māori water safety (ie kaupapa Māori approaches - see below); and
- using Māori-led research and evaluation to identify new drowning prevention interventions for Māori.

Kaupapa Māori initiatives

In 2019 WSNZ entered into an agreement with the Accident Compensation Corporation (ACC) to test an approach for addressing the high drowning rate of Māori, taking a kaupapa Māori approach via Kia Maanu, Kia Ora, WSNZ's Māori Water Safety Strategy. As a result, during 2019/20 WSNZ funded several Māori water safety initiatives that used a kaupapa Māori approach using ACC funding.

For 2020/21 WSNZ is again seeking to fund water safety initiatives that use a kaupapa Māori approach. It is recommended that applicants thinking of applying for funding for kaupapa Māori contact WSNZ to discuss their initiative prior to completing the online application form.

The Kia Maanu Kia Ora website can be found [here](#).

Regional Water Safety Strategies

WSNZ has been developing three pilot regional water safety strategies in Auckland, Waikato and Bay of Plenty in consultation with stakeholders and the community. The objective of this work has been to develop a coordinated and collaborative action plan for water safety in each region that identifies priority work streams and investments for drowning prevention.

As part of this work, funding priorities have been identified for each region and WSNZ is using these to guide our new investments in these regions during 2019/20. These priorities are:

Bay of Plenty

- Greater coverage for Water Skills for Life across the Bay of Plenty, including:
 - More children being taught WSFL;
 - More trained WSFL deliverers in schools;
 - Collaborations between private providers, schools and swim schools, particularly in rural and remote areas;
- Increase in uptake of Coastguard membership and training, particularly the Day Skippers course;
- Establishing a regular forum for those involved in aquatic recreational activities to strengthen the collective ability of the BoP community to address water safety issues.

Waikato

- Improved water survival education:
 - Greater coverage for WSFL across the Waikato
 - Increased availability and uptake of river safety education
 - Greater focus on youth and migrants (especially Asian and Pasifika)
 - More trained water safety teachers and experts
- Better communications:

- Safe river zones
- Educational Safety signage
- Use of social media, video and other technologies (eg augmented reality)
- More effective partnerships with:
 - Waikato-Tainui and other iwi
 - Waikato River Authority
 - Waikato Regional Council
 - Swimming Waikato and other water sports codes (eg NZ Polo)

Auckland

- Greater focus on 'at risk' individuals/families/communities that are not currently being reached through education programmes, particularly:
 - those aged under 24 for water safety and survival skills;
 - males aged over 45 for recreational boating safety;
 - international students and migrant groups;
- Continue and, where possible, extend reach and coverage of existing programmes
 - eg extension of DPA's Wai Wise programme
- More effective targeting of audiences by using new communications tools/techniques, including:
 - social media
 - multi-lingual translations of water safety teaching materials/videos
- Use data to drive approach to drowning prevention by identifying new and emerging trends and areas to focus drowning prevention activities.

B. High-risk Activities and Target Groups

High-risk activities

Analysis of past 10 years drowning fatality data has identified the following high-risk activities that accounted for around two-thirds of the 784 preventable drowning between 2010 and 2019.

In-water recreation

Swimming, jumping into water, and recreating in water without a boat or craft (including attempting to rescuing others) contributes to around one in five high-risk drownings.

Land based fishing

Fishing off rocks or from the beach is a high-risk activity, exacerbated by the lack of personal floating devices (PFDs) worn by participants.

Powered and sail boating

Recreational boating fatalities are often attributed to a lack of lifejackets (aka PFDs), poor decision making, inadequately maintained boats, as well as lack of knowledge about safe boating practices (eg how to safely cross a river bar).

Oar and paddle crafts

Oar and paddle craft (eg Stand Up Paddleboards) are an increasingly popular option for recreating in water recreating and this is being reflected in drowning fatality numbers.

Underwater

Free diving, snorkelling and scuba diving are the third most common cause of high-risk drowning fatalities after recreation boating and in-water recreation.

Tip for applicants: *Projects and initiatives that focus on education and awareness raising about the specific activity or interventions that offer practical responses to reduce injury and death from the activity, will be preferred.*

Target groups

Analysis of past 10 years drowning fatality data has identified the following groups over-represented in New Zealand's drowning data and/or likely to participate in high-risk activities.

Under fives

This age group continues to be vulnerable to drowning fatalities and injuries and a specific drowning reduction target has been included in the Water Safety Sector Strategy 2020.

Tip for applicants: *Projects and initiatives that focus on parental education on the need for active supervision will be preferred.*

Males 15 – 34

Males in this age group are the most likely demographic cohort to drown. WSNZ has been running a targeted social media campaign for this group over the past 4 summers.

Tip for applicants: *Targeted water safety projects and initiatives aimed at changing the risk-taking behaviour around water of males aged 15 – 34 years are welcomed.*

Scuba divers

Scuba diving fatalities are often attributed to not following the diving code (eg not diving with a buddy, not checking conditions) inadequate or poorly maintained equipment and risk taking.

Tip for applicants: *An example of what is sought would be the design and provision of training or risk awareness programmes for scuba divers.*

Males 50+ who are recreational boaters

Recreational boating is the number one activity that leads to drowning fatalities for this demographic, which has the second highest drowning rate (after the Males 15-34 group).

Tip for applicants: *The design and provision of awareness raising programmes or water safety messages that lead to behaviour change this demographic group would be welcome.*

Asian New Zealanders

The past 10 years has seen a sharp increase in the drowning fatalities amongst Asian men living in New Zealand involved in fishing and water-based recreation.

Tip for applicants: *Projects and initiatives for Asian New Zealanders aimed at improving water safety knowledge and the understanding of water safety risks are sought.*

STEP THREE: ASSESSMENT CRITERIA

The third application step is to demonstrate how projects will meet the following Assessment Criteria:

1. Benefits and Evidence

Applications must state why there is a need to undertake this project, and demonstrate that it will address a particular drowning problem/s.

- Benefits: how will this project meet the Funding Goal and Funding Priorities and how will it increase water safety? Who, and how many people, will receive those benefits?
- Evidence: how do you know your project will deliver these benefits?

Tip for applicants: This is the most important assessment criteria, so applications should contain enough information for WSNZ to form a view of what the benefits being sought are and how the project will deliver them.

2. Warranty

Applicants are required to demonstrate that their organisation has the track record and capability to deliver the project to the standards it has proposed, efficiently and effectively.

- What is the level of trust and confidence WSNZ has in the applicant and how has the applicant demonstrated it can be trusted?

Tip for applicants: Information is needed on the likelihood of successful project delivery.

3. Collaboration and Leverage

WSNZ is interested in how applicants can add value to the initiative and 'scale up' the impact through other partnerships and resourcing.

- What additional investment will this project achieve? What partnerships opportunities will it leverage?

Tip for applicants: This is about the ability of the project/applicant to generate collective effort and buy-in, or additional funding from other stakeholders.

4. Monitoring and Evaluation

Applicants are required to monitor and report on performance measures to assess the effectiveness of the project and the achievement of outcomes.

- How will you monitor the project and demonstrate its effectiveness?
- How has your project made a difference to the drowning toll?
- Project budgets must include provision for monitoring and evaluation.

Tip for applicants: Applications should indicate to WSNZ how the achievement of the project benefits will be measured.

APPLICANT RESPONSIBILITIES

Contract Manager

Funded organisations are required to assign one person as the 'Contract Manager' for the project. This person should have full delegated authority to manage the project and report to WSNZ.

Accountability Reporting

WSNZ requires a six month and year end accountability report in the provided form, satisfactorily completed by all funded parties. These consist of:

February Report (six-month report)

- A completed accountability report covering what has been delivered to date, its results and grant expenditure to 31 December 2020.

July Report (year-end report)

- A completed accountability report covering what was delivered, its results and grant expenditure to 30 June 2021.

Child Protection Policies

WSNZ requires that all funded organisations working with children and young people provide WSNZ with evidence of a having a child protection policy in place that is consistent with the Children's Act 2014. It is considered best practice to have a child protection policy as they help build a strong culture of child protection across a workplace by encouraging early identification and referral of vulnerable children. All organisations who work with children and young people are encouraged by Oranga Tamariki and Sport New Zealand to adopt a child protection policy.

This requirement has been included in the terms of WSNZ's 2020/21 funding contract. Applicants will have to upload their current child protection policy when completing the WSNZ online funding applications form.

Note that this requirement is in addition to the legal requirement under section 31 of the Children's Act 2014 to ensure that all people who work with children are safety checked before their employment or engagement commences, and that these safety checks updated every three years. Child protection policies only cover the identification and reporting of child abuse and neglect - NOT the safety checking of child workers.

Code of conduct

Funded organisations are required to adhere to WSNZ's Code of Conduct for Funded Partners - a copy of which is attached as Appendix 1. This Code of Conduct has been developed to ensure that those organisations receiving funding from WSNZ for drowning prevention initiatives act in way consistent with WSNZ's obligations to its funders.

The Code has been included in the terms of WSNZ's 2020/21 funding contract and when signing their funding contract, successful applicants will be agreeing to adhere to the terms of WSNZ's Code of Conduct for Funded partners.

Tauaki tikanga

The funding agreement WSNZ has entered into with ACC to test a kaupapa Māori approach to Māori drowning prevention requires WSNZ to ensure that any services it contracts using ACC funds must be delivered in a manner that is culturally appropriate for Māori. In response to this, WSNZ has developed as Statement of Practice - Tauaki Tikanga - to ensure the delivery of its kaupapa Māori programmes is culturally appropriate and supports WSNZ's strategic objectives for Māori. A copy of the Tauaki Tikanga is attached as Appendix 2.

The Tauaki Tikanga is equivalent to a code of conduct and is to be read in conjunction with WSNZ's Code of Conduct for Funded Partners. It has been included in the terms of WSNZ's 2020/21 funding contract for kaupapa Māori initiatives and when signing their funding contract, successful applicants will be agreeing to adhere to the terms of the Tauaki Tikanga.

Programme Audit

Please note that in making an application for funding to WSNZ you acknowledge consent to a financial or programme audit. In the unlikely event that WSNZ (or its auditor) find that funds have been used inappropriately, or for a purpose inconsistent with the grant purpose, those funds will need to be reimbursed in full. Any variance to expenditure that jeopardises outcomes will need to be raised with WSNZ.

Uncompleted Projects

In the event that a project is not completed, or funding is not spent, organisations are required to inform WSNZ as early as possible through their Contract Manager. Funds will either need to be returned or a time extension requested.

Recognition of WSNZ

Applicants are required to record WSNZ investments in their *Annual Financial Statements* and appropriately recognise WSNZ (and its commercial partners, if applicable).

INFORMATION FOR ALL APPLICANTS

Please read this information in conjunction with Part Five: Applicant Responsibilities.

Eligibility

1. Any organisation is eligible to apply for funding. Collaboration and partnership-based applications are particularly welcome. These should identify one responsible lead organisation for application and accountability purposes.
2. WSNZ will only fund specified water safety and survival programmes, or specific water safety components of other aquatic activities. Applications that relate to participation in general water-based activities or sports (such as sailing, kayaking, surfing, waka ama etc.) must set out in detail the specific water safety components for which funding is sought. (Note: if water safety is integral to a water-based activity or sport, the provision of water safety training to participants will not generally be considered eligible.)
3. WSNZ does *not* fund the following:
 - Search and Rescue. Funding for this may be available through the New Zealand Lottery Grants Board Outdoor Safety Committee
 - Costs arising from changes to government policies, regulations or legislation
 - Core rescue capability

In general, applications for capital expenditure, debt repayments and organisations' day to day operational/running costs are unlikely to be funded.

Applications

Applicants are required to apply online using **WSNZ's automated grant management system**, hard copy funding applications will not be received or processed by WSNZ. WSNZ has moved to the new system to speed up the process for processing grant applications by eliminating paper-based applications and assessment processes, as well as providing a strong audit trail through the granting.

To apply for funding from WSNZ go to <https://watersafety.smartygrants.com.au>

- Choose the link to the application form for the Drowning Prevention Fund;
- Enter the requested information (note you do not have to complete the form in one session – you may save your form and complete later); and
- Submit the completed form(s) by the closing date below.

Note that:

- You may submit more than one application for funding;
- Funded organisations may subcontract parts of a project's delivery, but they remain fully accountable for the project's completion and success.
- Funding decisions will only be confirmed upon the receipt and satisfactory fulfilment of all deliverables and reporting requirements from any previously WSNZ-funded initiatives.

Key Dates

The following table summarises the application process and dates.

Stage	Date
Applications open	Friday, 24 April 2020
Applications close	Monday, 25 May (11.59 pm) 2020
Final 2019 - 20 Accountability Reports due	Tuesday, 4 August 2020
Notification of outcomes to applicants	Thursday, 30 July 2020 (indicative)

Additional information is available at:

<https://watersafety.org.nz/Get Funded>

For further information on the WSNZ funding process please contact:

Ants Lowe, Manager Funding and Relationships can be contacted on Funding@watersafety.org.nz.



Code of Conduct for Funded Partners

February 2020

Introduction

This Code of Conduct for Funded Partners has been developed to ensure that those organisations receiving funding from Water Safety New Zealand (WSNZ) for drowning prevention initiatives act in way consistent with WSNZ's obligations to its funders.

Background

In December 2019 the Board Water Safety New Zealand (WSNZ) agreed that as a matter of best practice WSNZ should require its funded partners to adhere to a Code of Conduct.

Codes of conduct are explicit statements of the expected standards of ethical conduct that apply to individuals of a group – eg employees, members, stakeholders or funding recipients.

As part of one of its funding arrangements with Accident Compensation Corporation (ACC) WSNZ has to comply with the Standards of Integrity and Conduct issued by the State Services. In addition, WSNZ is also required to ensure that all individuals engaged in relation to this ACC agreement for the delivery of Services also comply with these obligations. This means those who WSNZ contracts to deliver these specific initiatives using funds provided by ACC are required to comply with WSNZ's contractual obligations to ACC. It was therefore considered that the Standards of Integrity and Conduct issued by the State Services should be used as the basis for the WSNZ's Code of Conduct for Funded Partners.

However, some of these standards are not relevant to our funding relationships, are already covered in other parts of the funding contract or are not something we could realistically ask of a non-government provider (eg work to improve the performance and efficiency of their organisation, maintain the political neutrality required to enable us to work with current and future governments).

WSNZ has reviewed the State Sector's Standards of Integrity and Conduct issued by the State Services Commission and is of the view that not all these standards are wholly applicable to those providing services on behalf of WSNZ. Below are the Standards that will apply to those receiving funding from WSNZ.

WSNZ Code of Conduct for Funded Partners

If you receive initiative funding from WSNZ we expect you to:

Treat everyone fairly and with respect

- Treating people fairly means that you do not show any favouritism, bias or self-interest in delivering your initiative. This includes avoiding any perceived unfairness that could arise from having any personal interest in the delivery of WSNZ-funded initiatives.
- Treating everyone with respect means being courteous, and by:
 - protecting the privacy of people receiving services;
 - respecting the cultural background of people receiving services and not discriminating against anyone;
 - having proper regard for the safety of others and avoiding behaviour that may endanger or cause distress; and
 - valuing equality and diversity by understanding our differences.

Be professional and responsive

- Being professional requires you to act with integrity, be committed to your organisational responsibilities and to be aware of the performance standards expected of your contract with WSNZ (including meeting agreed timeframes and service parameters).
- It is important that you are always aware both of maintaining good relationships with those receiving funded services and ensuring clear information is provided to them and WSNZ.

Act lawfully and objectively

- This means you must act within the letter and spirit of the law when providing WSNZ-funded initiatives, including responding objectively when you become aware of any unlawful activities in your organisation.
- It is important you show an objective and balanced approach to your contractual responsibilities to WSNZ, including the maintenance of accurate and complete records.
- You are obliged to comply with the standards set out in this code of conduct and help your organisation take action if these standards are breached.

Treat information with care and use it only for proper purposes

- Privacy principles must always govern the handling of personal information associated with WSNZ-funded initiatives. The proper management of information is central to ensuring that personal privacy rights are preserved.
- It is a breach of trust for information generated by WSNZ-funded work to be disclosed or used in any way, unless you have permission to do so.

Avoid any activities that may harm the reputation of WSNZ and its funders

- As a general principle, you must always be careful that your actions do not compromise your organisation, WSNZ and/or its funders in the eyes of the public. Some activities, including unlawful behaviour or incidents involving a breach of trust, are likely to bring your organisation into disrepute.
- As WSNZ allocates funding provided by Government, philanthropic organisations and corporate sponsors, we have a legitimate interest in whether any of our funded activities are likely to negatively affect our relationships with our funders.
- All WSNZ-funded organisations have a duty to use the resources provided efficiently, and be able to account publicly for what end allocated funds are being used.



Tauaki tikanga

Statement of Practice for kaupapa Māori initiatives

Titiro whakamuri

Kōkiri whakamua

Look back and reflect

So you can move forward



March 2020

Introduction

This Statement of Practice - tauaki tikanga – has been developed by WSNZ to ensure the delivery of its kaupapa Māori programmes is culturally appropriate and supports WSNZ’s strategic objectives for Māori.

Background

In August 2019 Water Safety New Zealand (WSNZ) entered into an agreement with the Accident Compensation Corporation (ACC) to test an approach for addressing the high drowning rate of Māori, taking a kaupapa Māori approach, via *Kia Maanu, Kia Ora*, WSNZ’s Māori Water Safety Strategy.

As part of the *Kia Maanu, Kia Ora* (KMKO) funding agreement between WSNZ and ACC, WSNZ has several obligations which it must comply with in return for receiving funding. These include that WSNZ must:

- Comply with the Standards of Integrity and Conduct issued by the State Services; and
- Deliver services in a manner that is culturally appropriate for Māori, Asian, Pacific and other ethnic or indigenous groups:

WSNZ is also required to ensure that “all individuals engaged in relation to this [ACC KMKO] Agreement for the delivery of Services” comply with these obligations. This means those who WSNZ contracts to deliver kaupapa Māori drowning prevention initiatives using funds provided by ACC are required to comply with WSNZ’s contractual obligations to ACC.

WSNZ Code of Conduct for Funded Partners

Attached as an Appendix 1 above is WSNZ’s Code of Conduct for Funded Partners which is based on the Standards of Integrity and Conduct issued by the State Services. WSNZ has adapted these for its funding contracts, as not all these standards were relevant for non-government organisations.

Application: WSNZ’s integrity and conduct requirements are to apply to all providers receiving WSNZ funding for the 2020/21 year.

WSNZ goals for Māori

WSNZ has recently refreshed its Māori strategy in partnership with a group of Māori water safety experts collectively known and Tangaroa Ara Rau. In developing the new strategy, WSNZ:

1. acknowledges the status of Māori as tangata whenua and as partners with the Crown in the Treaty of Waitangi;
2. acknowledges and upholds its responsibilities as good citizens of NZ to be good Treaty partners;
3. considers that the principles of the Treaty of Waitangi align with the aims of WSNZ in relation to Māori and offer a suitable foundation for the planning and delivery of drowning prevention activity for Māori. In practical terms this means:
 - **Partnership** involves working together with iwi, hapū, whanau and Māori communities to develop strategies for Māori water safety and appropriate prevention services.
 - **Participation** requires Māori to be involved at all levels of the water safety sector, including in governance, decision-making, planning, development and delivery of water safety and drowning prevention services.

- **Protection.** involves WSNZ working to ensure Māori have at least the same level of health as non-Māori, and safeguarding Māori cultural concepts, values and practices.

These goals for Māori are consistent with the objectives of WSNZ's ACC KMKO programme and have informed the development of this Statement of Practice - tauaki tikanga.

Statement of Values

WSNZ has been working with a group of Maori water safety experts operating as Tangaroa Ara Rau on the refresh of the WSNZ's Māori water safety strategy. This strategy aims to improve Māori drowning prevention outcomes. As part of this work WSNZ and Tangaroa Ara Rau explored how a holistic approach to Māori water safety that includes cultural and spiritual elements, can be given effect in Māori water safety initiatives and programmes.

Tangaroa Ara Rau developed a set of values to guide their work on Māori water safety and these have been adapted into following values to apply to WSNZ's ACC KMKO programme.

In delivering WSNZ's ACC KMKO initiatives it is expected that contracted partners demonstrate values that are consistent with Māori social and cultural expectations and support Māori self-determined development. These are held to be:

Kotahitanga: Māori unity, shared sense of belonging

Refers to decisions made by Māori to identify and work as Māori in association with Māori for the benefit of Māori development.

Whanaungatanga: An ethic of belonging, kinship

Acknowledges the importance of networks and relationships and, therefore, of developing, managing, and sustaining relationships. It involves caring for and working harmoniously with others to achieve common goals using relational strategies such as tuakana-teina.

Kaitiakitanga: Guardianship of natural resources

This is about responsible environmental management and sustainable enterprise. It includes the taking care of assets for future generations, as opposed to ownership and the right to divest assets.

Wairuatanga: Spirituality

That attention and resources are committed to ensuring that spiritual protocols are observed in everyday functioning within the organisation, and in relationships with others.

Manaakitanga: Hospitality, generosity, care, and giving

A group or organisation should be able to host and provide for people appropriately and resources should be allocated for this purpose.

Tuhono: Cross-sectoral alignment of Māori aspirations on all dimensions

This supports the holistic or 'multiple bottom line' approach: profit-related and socially-oriented goals can be intertwined.

Puawaitanga: The best possible return is sought on integrated goals

This supports the measurement of success against multiple outcomes, including, but not just financial outcomes.

Purotu: Multiple responsibilities and levels of accountability

This emphasises the responsibilities and accountabilities that Māori organisations often hold to current and future generations, wider whānau, hapū, or iwi groups. It relates also to the particular laws and requirements of Māori organisations, particularly those managing collectively-owned assets.

Application

The values outlined above are to apply to all kaupapa Māori providers receiving funding for ACC KMKO programmes in 2020/21 and outyears.

This Statement of Practice – tauaki tikanga - will be included in WSNZ's funding contracts with kaupapa Māori providers. This means that agreement to the terms of the funding contract means agreement to the Statement of Practice – tauaki tikanga.